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JC05 Rec'd PCT/PTO

11 MAR 2002

PCT

FORM PTO-1390 (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				ICC-211/PCT/US	
				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/088064	
INTERNATIONAL APPLICATION NO. PCT/US00/24620		INTERNATIONAL FILING DATE 08 SEPTEMBER 2000 (08.09.00)		PRIORITY DATE CLAIMED 09 SEPTEMBER 1999 (09.09.99)	
TITLE OF INVENTION RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS					
APPLICANT(S) FOR DO/EO/US HANNS R. MISIAK					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau) b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired d. <input type="checkbox"/> have not been made and will not be made. 9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 10. <input checked="" type="checkbox"/> An executed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409) 12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 13. to 19. below concern document(s) or information included: 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, listing the documents cited in the International Search Report and enclosed copies thereof. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment 16. <input type="checkbox"/> A substitute specification 17. <input type="checkbox"/> A change of power of attorney and/or address letter. 18. <input type="checkbox"/> Certificate of Mailing by Express Mail. 19. <input checked="" type="checkbox"/> Other items or information: Written Opinion, Reply to Written Opinion, and RETURN RECEIPT POSTCARD					
Express Mail No. EL545599035 US <u>Donna LeBlanc</u> Donna LeBlanc March 11, 2002					

JC13 Rec'd PCT/PTO 1 1 MAR 2002

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER																									
10/088064		PCT/US00/24620		ICC-211/PCT/US																									
<div>20. <input checked="" type="checkbox"/> The following fees are submitted:</div> <div>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</div> <div>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1,000.00</div> <div>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00</div> <div>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. ...\$710.00</div> <div>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00</div> <div>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00</div> <div>ENTER APPROPRIATE BASIC FEE AMOUNT =</div> <div>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)) +</div> <table><tr><td>CLAIMS</td><td>NUMBER FILED</td><td>NUMBER EXTRA</td><td>RATE</td></tr><tr><td>Total claims</td><td>19 - 20 =</td><td>0</td><td>X \$ 18.00</td></tr><tr><td>Independent claims</td><td>1 - 3 =</td><td>0</td><td>X \$ 80.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td>+ \$270.00</td></tr></table> <div>TOTAL OF ABOVE CALCULATIONS =</div> <div>Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</div> <div>SUBTOTAL =</div> <div>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +</div> <div>TOTAL NATIONAL FEE =</div> <div>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</div> <div>TOTAL FEES ENCLOSED =</div> <table><tr><td rowspan="2"></td><td>Amount to be:</td><td></td></tr><tr><td>Refunded</td><td>\$</td></tr><tr><td></td><td>Charged</td><td>\$</td></tr></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	19 - 20 =	0	X \$ 18.00	Independent claims	1 - 3 =	0	X \$ 80.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		Amount to be:		Refunded	\$		Charged	\$	<div>CALCULATIONS</div> <div>PTO USE ONLY</div>	
				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																						
Total claims	19 - 20 =	0	X \$ 18.00																										
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<div>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</div> <div>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 12-2135 in the amount of \$860.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</div> <div>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to my Deposit Account No. 12-2135 A duplicate copy of this sheet is enclosed</div>																													
<div>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</div> <div>SEND ALL CORRESPONDENCE TO.</div> <div>STEVEN C BAUMAN LOCTITE CORPORATION 1001 TROUT BROOK CROSSING ROCKY HILL, CT 06067 USA</div> <div>SIGNATURE</div> <div>STEVEN C. BAUMAN</div> <div>NAME</div> <div>REGISTRATION NUMBER</div> <div>33,832</div>																													

1013 Rec'd PCT/PTO 11 MAR 2002
10/088064

ICC-211/US
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	Examiner: NYA
Hanns R. Misiak)	
	:	Group Art Unit: NYA
)	
Appln No.: NYA	:	
)	
	:	
Filing Date:)	
Concurrently Herewith	:	
)	
	:	
For: RADIATION-CURABLE,)	
CYANOACRYLATE-CONTAINING	:	
COMPOSITIONS)	March 12, 2002

Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Preliminary to examination on the merits, kindly amend
the application as follows:

IN THE CLAIMS:

Kindly amend Claim 12 to read as follows:

12. (Amended) The composition according to Claim 1,
wherein radiation in the electromagnetic spectrums appropriate
for photocuring the composition is selected from the group

consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.

REMARKS

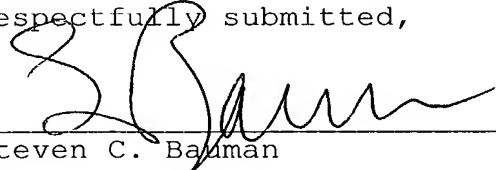
Applicant has amended Claim 12 to remove dependency from multiple claims, thereby placing the claim in better U.S. format.

Consistent with the recent changes to the federal regulations regarding the way in which amendments are to be introduced to a pending application, Applicant has presented a sheet annexed hereto entitled "Version of Claim with Markings to Show Changes Made", which reflect these amendments.

Applicant respectfully requests a prompt and favorable examination of the application.

Applicant's undersigned attorney may be reached by telephone at (860) 571-5001, by facsimile at (860) 571-5028 or by e-mail at steve.bauman@loctite.com. All correspondence should be directed to the address given below.

Respectfully submitted,



Steven C. Bauman
Attorney for Applicant
Registration No. 33,832

LOCTITE CORPORATION
Legal Department
1001 Trout Brook Crossing
Rocky Hill, Connecticut 06067

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VERSION OF CLAIM WITH MARKINGS TO SHOW CHANGES MADE

12. (Amended) The composition according to [any one of] Claim[s] 1[-11], wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AS
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY UNDER THE
PATENT COOPERATION TREATY

In re Application of: :
Loctite Corporation et al.)
:
Int'l Application No.:) Authorized Officer:
PCT/US00/24620 : T. Zalukaeva
:
Int'l Filing Date: :
08 September 2000)
(08 Sept. 00) :
:
Priority Date: :
17 June 1999 (17 June 99))
:
Title: RADIATION-CURABLE,)
CYANOACRYLATE-CONTAINING :
COMPOSITIONS) August 13, 2001

Commissioner for Patents
Box: PCT
Washington, D.C. 20231

Attention: RO/US

REPLY TO WRITTEN OPINION

Sir:

In reply to the Written Opinion dated July 26, 2001,
kindly amend the above-identified application as follows and
consider the accompanying remarks:

IN THE SPECIFICATION:

Page 3, line 32, change "VII" to -- VIII --.

Page 4, line 25, delete "component".

Page 11, line 27, change "phtotiniated" to --
photoinitiated --.

Page 21, line 10, remove the return after "that".

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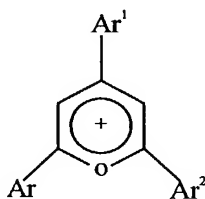
IN THE CLAIMS:

Kindly amend Claims 1, 7, and 13-19 as follows:

1. (Amended) A photocurable composition comprising:

- (a) a 2-cyanoacrylate component,
- (b) a photoinitiated radical generating component, and
- (c) a photoinitiator component,

wherein the photoinitiator component is selected from the compounds within the following structure:



wherein each of Ar, Ar¹ and Ar² are aryl groups, with or without substitution, and X⁻ is an anion.

7. (Amended) The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-[,]trimethyl pentyl phosphine oxide, [2-hydroxy 2-methyl-1-phenyl-propan-1-one, 2-hydroxy 2-methyl-1-phenyl-propan-1-one,] 2,4,6-trimethylbenzoyldiphenyl-phosphine

13. (Amended) The composition according to [any one of] Claim[s] 1[-11], further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.

15. (Amended) A method of polymerizing a photocurable composition, said method comprising the steps of:

(b) objecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.

17. (Amended) The composition according to [any one of] Claim[s] 1[-14] in a one-part formulation.

19. (Amended) The composition according to

Kindly add new Claim 20 as follows:

- (a) a 2-cyanoacrylate component,
- (b) a photoinitiated radical generating component, and
- (c) a photoinitiator component,

REMARKS

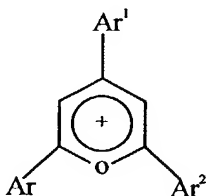
Applicants have cancelled Claim 8, incorporating the recitations thereof into Claim 1, and added new Claim 20.

Applicants have also amended Claim 7 and the Specification to correct obvious typographical errors. Replacement Specification pages 3, 4, 11 and 21 and replacement claim pages 28-31, are included herewith.

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Applicants traverse these observations.

The present invention is directed to a photocurable composition including a 2-cyanoacrylate component, a photoinitiated radical generating component, and a photoinitiator component. In this composition, the photoinitiated radical generating agent may be selected from α -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof, and the photoinitiator may be selected from compounds within the following structure:



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where each of Ar, Ar¹ and Ar² are aryl groups, with or without substitution, and X⁻ is an anion.

Although the predominant mechanism by which cyanoacrylate monomers undergo polymerization is an anionic one (which as noted above is typically initiated using a nucleophile), free-radical polymerization is also known to occur. Free radical polymerization is however seen as troublesome since it tends to reduce shelf-life stability under prolonged exposure to heat or light of an appropriate wavelength. Ordinarily, free-radical stabilizers, such as quinones or hindered phenols, are included in cyanoacrylate-containing adhesive compositions to consume free radicals that are generated by light and under typical non-airtight storage conditions, thereby extending the adhesive's shelf life. Thus, the extent of any free-radical polymerization of commercial cyanoacrylate-containing adhesive compositions is especially undesirable for at least the reason stated and in practice is typically minimal due to the inclusion of such free-radical stabilizers.

Cyanoacrylate-based adhesive compositions have to date not been developed to rapidly cure through a photoinitiated free radical mechanism, while retaining commercially acceptable shelf life stability. Such a composition would be desirable as possessing the benefits and advantages of cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism.

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And reference to the Examples section shows the benefit of using the combination of a photoinitiated radical generating component and a photoinitiator component to achieve that desired result.

As regards the documents cited in the Written Opinion, Applicants provide the following comments to distinguish the invention as presently claimed therefrom.

Reich is directed to phase storage materials for recording spatially modulated optical data. These materials are prepared by introducing p-benzoquinone into cyanoacrylates and polymerizing the cyanoacrylate as a film between two plates. p-Benzoquinone is generally recognized as a free radical scavenger. Reich is silent as to how polymerization occurs in the spatially modulated optical data system. Nevertheless, since p-benzoquinone is a free radical scavenger, it is present with the intention of reacting with free radicals thereby preventing free radicals which form from reacting with other materials.

McDonnell is directed to sterilized cyanoacrylate adhesive compositions that are prepared by exposing the cyanoacrylate to gamma irradiation. The cyanoacrylate is present initially in liquid form and remains in liquid form after exposure to gamma irradiation due to the presence of a stabilizer package, which includes an anionic stabilizer and a free radical stabilizer. The anionic stabilizer is typically sulfur dioxide and the free radical stabilizer is typically a butylated hydroxy anisole, a butyl hydroxy toluene, or

hydroquinones, phenols, and the like.

Frankly, Applicants do not see the relevance of sterilization of a liquid composition, which remains liquid after exposure to gamma-irradiation, to a photocurable composition which turns solid after exposure to radiation in the electromagnetic spectrum. One system confers stability, whereas the other confers reactivity.

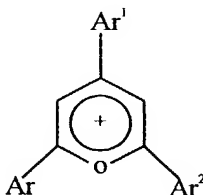
Like the present invention, Wojciak is also directed to radiation curable cyanoacrylate compositions. Wojciak's compositions include a cyanoacrylate together with a metallocene compound and a photoinitiator component. Thus, instead of Applicants' photoinitiated radical generating component and photoinitiator component combination to achieve photocure of a cyanoacrylate when exposed to the radiation in the electromagnetic spectrum, Wojciak uses the combination of a metallocene component and a photoinitiator component to achieve that result. The difference between the photoinitiated radical generating component and the metallocene component is plain from a review of the respective Specifications.

In contrast to the disclosure, teaching, or suggestion in these three cited patent documents, the present invention is defined by a photocurable composition including:

- (a) a 2-cyanoacrylate component,
- (b) photoinitiated radical generating component,
- and
- (c) a photoinitiator component.

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The photoinitiated radical generating component includes materials selected from α -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof. And the photoinitiator component includes compounds within the generic structure



The combination of the photoinitiated radical generating component and the photoinitiator component generates radicals that are capable of causing the cyanoacrylate to polymerize under exposure to radiation in the electromagnetic spectrum.

Reich uses benzoquinones, which are known free radical stabilizers, and McDonnell uses a free radical stabilizer, such as butylated hydroxy anisole, a butyl hydroxy toluene, or hydroquinones, phenols, and the like, to stabilize the cyanoacrylate against premature polymerization. That is, these free radical stabilizers perform the exact opposite function than the present invention, which uses the generated free radical in the polymerization of the cyanoacrylate component.

And Wojciak uses a different combination to achieve a photocurable cyanoacrylate.

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Accordingly, Applicants believe the claims as amended are in a form that meet all requirements of PCT Article 33, and therefore all objections should be removed.

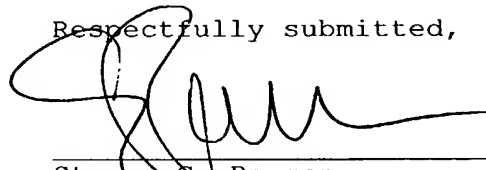
Finally, Claims 13-19 stand objected to under PCT Rules 66.2(a)(b) as allegedly lacking clarity under PCT Article 6.

Accordingly, Applicant's have amended these claims to remove reference to multiple dependency. Thus, each of Claims 13-19 as amended depend from Claim 1.

In view of the above, Applicants respectfully request the issuance of a favorable international preliminary examination report.

Should the Authorized Officer have any questions concerning the amendments set forth above, Applicants' undersigned agent may be reached by telephone at (860) 571-5001, by facsimile at (860) 571-5028, or by email at steve.bauman@loctite.com.

Respectfully submitted,



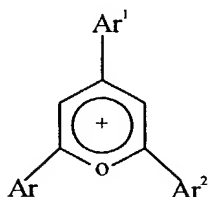
Steven C. Bauman
Agent for Applicants
Registration No. 33,832

LOCTITE CORPORATION
Legal Department
1001 Trout Brook Crossing
Rocky Hill, CT 06067
USA

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What Is Claimed Is:

1. A photocurable composition comprising:
 - (a) a 2-cyanoacrylate component,
 - (b) a photoinitiated radical generating component, and
 - (c) a photoinitiator component,
 wherein the photoinitiator component is selected from the compounds within the following structure:



wherein each of Ar, Ar¹ and Ar² are aryl groups, with or without substitution, and X⁻ is an anion.

2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by H₂C=C(CN)-COOR, wherein R is selected from the group consisting of C₁₋₁₅ alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.

3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, β-methoxyethyl cyanoacrylates, and combinations thereof.

4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.

5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of α -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.

6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-

7. (Amended) The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1-hydroxycyclohexyl phenyl ketone, 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propan-1-one, benzophenone, 2-benzyl-2-N,N'-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2,2-dimethoxy-2-phenyl acetophenone, bis(2,6-dimethoxybenzoyl-2,4-trimethyl pentyl phosphine oxide, 2,4,6-trimethylbenzoyldiphenylphosphine oxide, 2-hydroxy 2-methyl-1-phenyl-propan-1-one, and combinations thereof.

9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium tetrafluoroborate), 2,4-diphenylnaphto-(1,2-B) pyrylium tetrafluoroborate, 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate, 2,6-diphenyl-4(p-tolyl)-pyrylium tetrafluoroborate and combinations thereof.

10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.

11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth)acrylates, and combinations thereof.

12. The composition according to any one of Claims 1-11, wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.

13. The composition according to Claim 1, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.

14. The composition according to Claim 1, wherein the composition is useful as an adhesive, a sealant or a coating.

15. A method of polymerizing a photocurable composition, said method comprising the steps of:

(a) providing an amount of the photocurable composition according to Claim 1; and

(b) subjecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.

16. The composition according to Claim 1 in a two-part formulation.

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17. The composition according to Claim 1 in a one-part formulation.

18. A composition comprising a reaction product formed from the composition according to Claim 1 after exposure to radiation in the electromagnetic spectrum.

19. The composition according to Claim 1, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.

includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters, examples of which are expressed as 2-cyanoethyl acrylate ($\text{CH}_2=\text{CHCOOCH}_2\text{CH}_2\text{CN}$) and 3-cyanopropyl acrylate ($\text{CH}_2=\text{CHCOOCH}_2\text{CH}_2\text{CH}_2\text{CN}$). (See page 5, lines 19-26.)

U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization", Macromolecules, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS^- , cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS^- was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 A1 describes a photocurable compositions of (a) an α -cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VIII of the periodic table and aromatic electron system ligands selected from π -arenes, indenyl, and η -cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni) describes such compositions in the context of a method of bonding artificial nails.

applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers include benzophenone or dyes like xanthene dyes, acridinium dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.

The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular components chosen for a specific composition.

As a general guide, however, it is desirable to include in the photocurable compositions a photoinitiated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP), α -halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phosphine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or

Table 1

Sample No.	DBPO [ppm]	TPT [ppm]	After Exposure to 1000 W light [30 secs]
1	0	1000	No curing
2	100	0	No curing
3	500	0	No curing
4	2500	0	No curing
5	10000	0	No curing
6	100	100	High viscous
7	100	1000	Tack-free curing
8	500	100	High viscous
9	500	1000	Tack-free curing
10	2500	100	High viscous
11	2500	1000	Cured
12	10000	100	High viscous
13	10000	1000	High viscous

The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinitiator component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (see Sample Nos. 6-9).

Example 2

In this example, a variety of radical initiator components were used in the formulation.

Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of

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RADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS

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BACKGROUND OF THE INVENTIONField of the Invention

The present invention relates to radiation-curable compositions, which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component.

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Brief Description of Related Technology

Cyanoacrylates generally are quick setting materials which cure to clear, hard glassy resins, useful as sealants, coatings, and particularly adhesives for bonding together a variety of substrates [see e.g., H.V. Coover, D.W. Dreifus and J.T. O'Connor, "Cyanoacrylate Adhesives" in Handbook of Adhesives, 27, 463-77, I. Skeist, ed., Van Nostrand Reinhold, New York, 3rd ed. (1990)].

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Ordinarily, upon contact with substrate materials possessing a surface nucleophile, cyanoacrylate-containing compositions spontaneously polymerize to form a cured material. The cured material exhibits excellent adhesive properties to materials such as metals, plastics, elastomers, fabrics, woods, ceramics and the like.

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includes monofunctional acrylates and acrylate esters, such as cyano-functionalized acrylates and acrylate esters, examples of which are expressed as 2-cyanoethyl acrylate ($\text{CH}_2=\text{CHCOOCH}_2\text{CH}_2\text{CN}$) and 3-cyanopropyl acrylate ($\text{CH}_2=\text{CHCOOCH}_2\text{CH}_2\text{CH}_2\text{CN}$). (See page 5, lines 19-26.)

U.S. Patent No. 4,707,432 (Gatechair) speaks to a free radical polymerizable composition which includes (a) polymerizable partial esters of epoxy resins and acrylic and/or methacrylic, and partial esters of polyols and acrylic acid and/or methacrylic acid, and (b) a photoinitiator blend of a cyclopentadienyl iron complex and a sensitizer or photoinitiator, such as an acetophenone.

In C. Kutal, P.A. Grutsch and D.B. Yang, "A Novel Strategy for Photoinitiated Anionic Polymerization", Macromolecules, 24, 6872-73 (1991), the authors note that ethyl cyanoacrylate is "unaffected by prolonged (24-h) irradiation with light of wavelength >350 nm" whereas in the presence of NCS^- , cyanoacrylate is observed to solidify immediately, generating heat in the process. Though the NCS^- was not in that case generated as a result of irradiation, it was generated from the Reineckate anion upon ligand field excitation thereof with near-ultraviolet/visible light. See also U.S. Patent Nos. 5,652,280 (Kutal) 5,691,113 (Kutal) and 5,877,230 (Kutal).

International Patent Application PCT/US98/03819 describes photocurable compositions including a cyanoacrylate component, a metallocene component and a photoinitiator component.

European Patent Publication No. EP 769 721 A1 describes a photocurable compositions of (a) an α -cyanoacrylate and (b) a metallocene compound comprising a transition metal of group VII of the periodic table and aromatic electron system ligands selected from π -arenes, indenyl, and η -cyclopentadienyl. The photocurable composition may further include (c) a cleavage-type photoinitiator. U.S. Patent No. 5,814,180 (Mikuni)

The present invention provides compositions which include a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component and a photoinitiator component. Such compositions cure after exposure to radiation in the electromagnetic spectrum.

The photocurable compositions of this invention retain those benefits and advantages of traditional cyanoacrylate-containing compositions while curing through at least a photo-induced free radical polymerization mechanism, thereby providing to the compositions (and cured reaction products formed therefrom) the benefits and advantages of curing through such a mechanism. More specifically, photocurable compositions of this invention cure rapidly when used, and in so doing minimize the opportunity for undesirable blooming or crazing formation in the cured reaction product. In addition, the inventive photocurable compositions are capable of curing through larger gaps between substrate surfaces than conventional cyanoacrylates, or known photocurable cyanoacrylates. Moreover, as set forth in greater detail below, in one aspect of the invention the photocurable compositions include a non-cyanoacrylate-based radical curable component. The presence of such a component in the inventive compositions allows for the generation of copolymers and reaction products, which would not otherwise be accessible through typical anionic polymerization mechanisms -- the predominant polymerization of cyanoacrylates.

In another aspect of the present invention, there is provided a method of polymerizing a photocurable composition by providing an amount of the composition to a desired surface and exposing the composition to radiation in an amount sufficient to effect cure thereof.

In yet another aspect of the present invention, there is provided the cured reaction product formed from a photocurable composition after exposure thereof to a curingly effective amount of radiation.

The present invention will be more readily appreciated by those persons of skill in the art based on a reading of the detailed description of the invention which follows and the examples presented thereafter for illustrative purposes.

A variety of photoinitiated radical generating components are suitable for use herein, such as α -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, and peresters. Of course, combinations of these compounds may also be used.

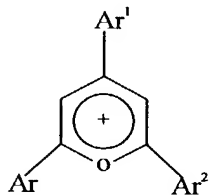
35 Of course, combinations of these materials may
also be employed herein.

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Photoinitiators enhance the rapidity of the curing process when the radiation compositions are exposed to electromagnetic radiation. A number of photoinitiators may be employed herein, examples of which include, but are not limited to,

Photoinitiators useful herein include pyrylium-based materials having a core structure of



X^-

where each of Ar, Ar¹ and Ar² are aryl groups, with or without substitution, and X⁻ is an anion, such as halogen, hexahalophosphate, hexahaloarsenate, hexahaloantimonate, tetrahaloferrate, tetrahaloborate (e.g., fluoro, chloro, bromo and iodo), and sulfonate.

More specific representations of the pyrylium-based materials include: 2,4,6-triphenylpyrylium-tetrafluoroborate ("TPT"), 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium-tetrafluoroborate) ("PBT"), 2,4-diphenylnaphto-(1,2-B) pyrylium-tetrafluoroborate ("DNT"), 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate ("TPTS"), and 2,6-dipenyl-4(p-tolyl)-pyrylium tetrafluoroborate ("DTPT").

In the aspect of the invention where a non-cyanoacrylate-based radical curable component is included in the inventive compositions, such radical curable component may be selected from a wide variety of materials, such as alkenes or alkynes.

Of these, styrene and derivatives thereof, such as alkyl- and alkenyl-ether derivatives, (meth)acrylates, alkyl- and aryl or alkenyl acetylenes, as well as esters of vinyl alcohol (e.g., vinyl acetate), are particularly desirable.

For instance, ordinarily cyanoacrylate-containing compositions free from an added thickener or viscosity modifier are low viscosity formulations (such as in the range of 1 to 3 cps). While a composition with such a viscosity (or one whose viscosity has been modified to be up to about five times that viscosity) may be appropriate for a wicking application where a small gap exists between substrates to be bound (e.g., less than about 0.1 mils) and/or an application where enhanced cure speed is desirable, such a viscosity may be too low for convenient use in certain industrial applications. At least for this reason, the viscosity of cyanoacrylate-containing compositions has at times been desirably modified through, for instance, the addition of polymethylmethacrylates and/or fumed silicas. See e.g., U.S. Patent Nos. 4,533,422 (Litke) and Re. 32,889 (Litke), the disclosures of each of which are hereby expressly incorporated herein by reference.

A medium viscosity formulation (such as in the range of 100 to 300 cps) may be more appropriate in applications where greater control of flowability is desirable. And a high viscosity formulation (such as in the

Further, the compositions of the present invention may be rendered more resistant to thermal degradation at elevated temperature conditions by the inclusion of certain sulfur-containing compounds, such as sulfonates, sulfinates, sulfates, sultones and sulfites as set forth in U.S. Patent No. 5,328,944 (Attarwala), the disclosure of which is hereby expressly incorporated herein by reference. The inclusion of such compounds in the photocurable compositions of the present invention renders those compositions well-suited for

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applications in which elevated temperature conditions may be experienced, such as with potting compounds particularly where large cure through volume is present and non-tacky surfaces are desirably formed in less than about five
5 seconds.

The inclusion of such materials to a photocurable composition in accordance with the present invention may provide a formulation having particular advantages for certain applications, and should be appealing from a safety
10 perspective as the possibility is decreased of splashing or spilling the composition on exposed skin of the user or bystanders.

Another desirable component to include in the inventive compositions is a photosensitizer to render the composition more reactive toward exposure to electromagnetic radiation. Desirable examples of such photosensitizers
15 include benzophenone or dyes like xanthene dyes, acridinium dyes or phenazine dyes. Inclusion of such photosensitizers often lessens the intensity and/or duration of exposure to the electromagnetic radiation used to initiate cure.
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The relative amount of the various components of the photocurable compositions according to this invention is a matter of choice left to those persons of skill in the art, depending of course on the identity of the particular
25 components chosen for a specific composition.

As a general guide, however, it is desirable to include in the photocurable compositions a photoinitiated radical generating component, such as peroxide, perester, azo compounds, benzoin derivatives (e.g., DMPAP), α -halo acetophenones (e.g., DC), acylphosphine oxides (e.g., DPTPO or related phosphine oxide compounds), in an amount within the range of about 0.005% to about 4% or greater (desirably within the range of about 0.01% to about 1.5%) by weight of the total composition. It is also desirable for the
30 compositions to include a photoinitiator component, such as substituted pyrylium salts or anthracene and derivatives thereof, e.g., substituted anthracenes, or anthraquinone or
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ketocoumarine derivatives, in an amount within the range of about 0.5% to about 10% by weight of the composition, with about 2% to about 4% or greater by weight of the total composition being desirable. The balance of the composition is composed predominantly of a cyanoacrylate component, such as ethyl-2-cyanoacrylate. Of course, the amount of all the components -- including stabilizers -- together in the composition totals 100%.

A method of curing a photocurable composition in accordance with this invention is also provided herein, the steps of which include (a) providing onto a desired surface an amount of a photocurable composition; and (b) subjecting the composition to radiation sufficient to effect cure thereof.

The amount of photocurable composition provided should be sufficient to cure and form an adequate bond to the substrate surfaces between which it is applied. For instance, application of the photocurable composition may be achieved by dispensing the composition in drop-wise fashion, or as a liquid stream, brush-applied, dipping, and the like, to form a thin film. Application of the photocurable composition may depend on the flowability or viscosity of the composition. To that end, viscosity modifiers, as noted above, may be included in the composition.

The photocurable compositions of the present invention have taken the ordinary undesirable by-product free radical reaction that compromises shelf life stability and turned it into a controlled free radical cure mechanism.

In use, such compositions are desirably readily dispensed onto a portion of a desired surface of a substrate onto which is to be bonded a portion of another substrate. The photocurable composition may be applied to certain portions of the substrate surface or over the entire surface of the substrate to be bonded, depending on the particular application.

The source of radiation emitting electromagnetic waves is selected from ultraviolet light, visible light,

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electron beam, x-rays, infrared radiation and combinations thereof. Desirably, ultraviolet light is the radiation of choice, with appropriate sources including "H", "D", "V", "X", "M" and "A" lamps, mercury arc lamps, and xenon arc lamps (such as those commercially available from Loctite Corporation, Rocky Hill, Connecticut; Fusion UV Curing Systems, Buffalo Grove, Illinois; Spectroline, Westbury, New York; or Xenon Corp., Woburn, Massachusetts; microwave-generated ultraviolet radiation; solar power and fluorescent light sources. Any of these electromagnetic radiation sources may use in conjunction therewith reflectors and/or filters, so as to focus the emitted radiation onto a specific portion of a substrate onto which has been dispensed a photocurable composition and/or within a particular region of the electromagnetic spectrum. Similarly, the electromagnetic radiation may be generated directly in a steady fashion or in an intermittent fashion so as to minimize the degree of heat build-up. Although the electromagnetic radiation employed to cure the photocurable compositions into desired reaction products is often referred to herein as being in the ultraviolet region, that is not to say that radiation in other regions within the electromagnetic spectrum may not also be suitable. For instance, in certain situations, radiation in the visible region of the electromagnetic spectrum may also be advantageously employed, whether alone or in combination with, for instance, radiation in the ultraviolet region. Of course, microwave and infrared radiation may also be advantageously employed under appropriate conditions.

Higher or lower radiation intensities, greater or fewer exposures thereto and length of exposure and/or greater or lesser distances of the source of radiation to the composition may be required to complete curing, depending of course on the particular components of a chosen composition.

More specifically with respect to radiation intensity, the chosen lamp should have a power rating of at

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least about 100 watts per inch (about 40 watts per cm), with a power rating of at least about 300 watts per inch (about 120 watts per cm) being particularly desirable. Also, since the inclusion of a photoinitiator in the composition may shift the wavelength within the electromagnetic radiation spectrum at which cure occurs, it may be desirable to use a source of electromagnetic radiation whose variables (e.g., wavelength, distance, and the like) are readily adjustable.

During the curing process, the composition will be exposed to a source of electromagnetic radiation that emits an amount of energy, measured in mW/cm^2 , determined by parameters including: the size, type and geometry of the source; the duration of the exposure to electromagnetic radiation; the intensity of the radiation (and that portion of radiation emitted within the region appropriate to effect curing); the absorbency of electromagnetic radiation by any intervening materials, such as substrates; and the distance the composition lies from the source of radiation. Those persons of skill in the art should readily appreciate that curing of the composition may be optimized by choosing appropriate values for these parameters in view of the particular components of the composition.

To effect cure, the source of electromagnetic radiation may remain stationary while the composition passes through its path. Alternatively, a substrate coated with the photocurable composition may remain stationary while the source of electromagnetic radiation passes thereover or therearound to complete the transformation from composition to reaction product. Still alternatively, both may traverse one another, or for that matter remain stationary, provided that the photocurable composition is exposed to electromagnetic radiation sufficient to effect cure.

Commercially available curing systems, such as the "ZETA" 7200 or 7400 ultraviolet curing chamber (Loctite Corporation, Rocky Hill, Connecticut), "UVALOC" 1000 (Loctite Deutschland GmbH, München, Germany), Fusion UV Curing Systems F-300 B (Fusion UV Curing Systems, Buffalo

Grove, Illinois), Hanovia UV Curing System (Hanovia Corp., Newark, New Jersey), BlackLight Model B-100 (Spectroline, Westbury, New York), and RC500 A Pulsed UV Curing System (Xenon Corp., Woburn, Massachusetts), are well-suited for the purposes described herein. Also, a Sunlighter UV chamber fitted with low intensity mercury vapor lamps and a turntable may be employed herein.

The required amount of energy may be delivered by exposing the composition to a less powerful intensity of electromagnetic radiation for a longer period of time, through for example multiple passes, or alternatively, by exposing the composition to a more powerful intensity of electromagnetic radiation for a shorter period of time. In addition, each of those multiple passes may occur with an intensity at different energy intensities. In any event, those persons of skill in the art should choose an appropriate intensity of electromagnetic radiation depending on the particular composition, and position the source of electronic radiation at a suitable distance therefrom which, together with the length of exposure, optimizes transformation. Also, it may be desirable to use a source of electromagnetic radiation that is delivered in an intermittent fashion, such as by pulsing or strobing, so as to ensure a thorough and complete cure without causing excessive heat build-up.

In use, a photocurable composition in accordance with the present invention may be dispensed, such as in the form of a thin film or droplet, onto a desired substrate. Substrates onto which the photocurable composition of the present invention may be applied may be chosen from a vast selection of different materials; basically, any material with which cyanoacrylates may be used is suitable as well for use herein. See supra.

Desirable choices among such materials include
35 acrylics, epoxies, polyolefins, polycarbonates, polysulfones
(e.g., polyether sulfone), polyvinyl acetates, polyamides,
polyetherimides, polyimides and derivatives and co-polymers

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thereof with which may be blended or compounded traditional additives for aiding processibility or modifying the physical properties and characteristics of the material to be used as a substrate. Examples of co-polymers which may be employed as substrates include acrylonitrile-butadiene-styrene, styrene-acrylonitrile cellulose, aromatic copolyesters based on terephthalic acid, *p,p*-dihydroxybiphenyl and *p*-hydroxy benzoic acid, polyalkylene (such as polybutylene or polyethylene) terephthalate, polymethyl pentene, polyphenylene oxide or sulfide, polystyrene, polyurethane, polyvinylchloride, and the like. Of course, other materials may also be employed for use herein. Particularly, desirable co-polymers include those which are capable of transmitting UV and/or visible radiation.

The composition-coated substrate may be positioned within an electromagnetic radiation curing apparatus, such as the "ZETA" 7200 ultraviolet curing chamber or the "UVALOC" 1000 ultraviolet curing chamber, equipped with an appropriate source of electromagnetic radiation, such as ultraviolet radiation, at an appropriate distance therefrom, such as within the range of about 1 to 2 inches, with about 3 inches being desirable. As noted above, the composition-coated substrate may remain in position or may be passed thereunder at an appropriate rate, such as within the range of about 1 to about 60 seconds per foot, with about 5 seconds per foot. Such passage may occur one or more times, or as needed to effect cure of the composition on the substrate. The length of exposure may be in the range of a few seconds or less (for one time exposure) to tens of seconds or longer (for either a one time exposure or a multiple pass exposure) if desired, depending on the depth of the composition to be cured and of course on the components of the composition themselves.

A reaction product is also of course provided by the teaching of this invention. The reaction product is formed from photocurable compositions after exposure thereof

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as flexible. The type of substrate chosen with respect to flexibility will of course depend on the application for which it is to be used. More specifically, the substrates may be constructed from substantially inflexible materials, such as glass, laminated glass, tempered glass, optical plastics, such as polycarbonates, acrylics and polystyrenes, and other alternatives as noted supra; and flexible materials, such as "MYLAR" film or polyolefin, such as polyethylene or polypropylene, tubing.

The choice of substrate material may influence the choice of processing technique used to prepare the photocurable composition into the cured reaction product or the type of device assembled. For example, when assembling a device from at least one flexible substrate, a composition may be advantageously applied to an end portion of the flexible substrate and allowed to wick along that end portion through a portion of another substrate, which is dimensioned to receive that end portion of the flexible substrate. A particular example of such an application is polyolefin tubing intended for medical applications, one end portion of which is dimensioned for receiving by an acrylic luer housing.

Since the photocurable compositions of the present invention cure to form reaction products through a photo-initiated free radical mechanism, the composition is exposed to the source of electromagnetic radiation to effect cure. The choice of substrate may affect the rate and degree at which cure occurs of the photocurable compositions of the present invention. For instance, it is desirable for the substrates to be bonded together to be substantially free of electromagnetic radiation-absorbing capabilities. That is, the greater degree of electromagnetic radiation transmitting capability the substrate possesses, the greater the rate and degree of cure of the composition, all else being equal of course.

It may be desirable to package the inventive compositions in a two part package, particularly in those

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instances where a cyanoacrylate component and a non-cyanoacrylate radical curable component are present.

Blooming or crazing may be observed when compositions cure into reaction products and the cure itself is incomplete. That is, blooming refers to the evaporation of cyanoacrylate monomer (due to its relatively high vapor pressure) from uncured fillets, the result of which is formation of a precipitate on surfaces adjacent to the bond line which are also observed as a white haze. Crazing refers to the formation of stress cracks on certain synthetic materials, such as polycarbonates, acrylics and polysulfones, due in this instance to the presence thereon of cyanoacrylate monomer.

The result of incomplete curing may be observed with respect to adhesive uses of the photocurable composition as adhesive or cohesive failure of the cured composition when applied to or between substrates. Such observations may be minimized or even eliminated by using electromagnetic radiation transmitting (as contrasted to absorbing) substrates and placing the source of electromagnetic radiation at a strategic location so as to improve the degree of electromagnetic radiation to which the composition on the substrate is exposed. Similarly, additional sources of electromagnetic radiation, or as stated above reflectors which redirect onto desired portions of the substrate stray or errant electromagnetic radiation, may be employed to further enhance cure.

The compositions of the present invention minimize and often eliminate blooming and crazing in commercial applications of the compositions by curing through the photoinitiated mechanism.

In addition, the compositions of this invention provide a built-in secondary cure system (i.e., photo-initiated free radical initial in addition to the ordinary cyanoacrylate anionic initiation), which is particularly attractive in those applications where certain of the substrates which may be used in the assembly do not allow

the transmission of light, rendering another type of adhesive (such as a dual cure acrylic adhesive) less desirable because a secondary heating step would then be required; elimination of a substrate primer step, which obviates the use of often flammable materials and invites automated processes; and improved cure through volume capabilities.

In view of the above description of the present invention, it is evident that a wide range of practical opportunities is provided by the teaching herein. Certain of those practical opportunities are exemplified below, as are many of the advantages and benefits of the present invention. However, the invention as so exemplified is for illustrative purposes only and is not to be construed in any way as limiting the broad aspects of the teaching herein provided.

EXAMPLES

Example 1

In this example, formulations were prepared to demonstrate the effect of one or the other of a radical initiator component and a photoinitiator component in ether to cyanoacrylate composition. The photoinitiated radical generating component chosen was dibenzoyl peroxide ("DBPO") and the photoinitiator component was 2,4,6-triphenylpyrylium tetrafluoroborate ("TPT"). The formulations were prepared by mixing the appropriate components with the cyanoacrylate and thereafter dispensing a drop of the formulation onto a polycarbonate slide. The slide was then exposed to 1000 watts of mercury arc light in a "UVALOC" 1000 irradiation chamber for a period of time of about 30 seconds. The results of this example are shown below in Table 1.

Table 1

Sample No.	DBPO [ppm]	TPT [ppm]	After Exposure to 1000 W light [30 secs]
1	0	1000	No curing
2	100	0	No curing
3	500	0	No curing
4	2500	0	No curing
5	10000	0	No curing
6	100	100	High viscous
7	100	1000	Tack-free curing
8	500	100	High viscous
9	500	1000	Tack-free curing
10	2500	100	High viscous
11	2500	1000	Cured
12	10000	100	High viscous
13	10000	1000	High viscous

The information shown in Table 1 indicates that the combination of the radical initiator component and the photoinitiator component (see Sample Nos. 6-13) enables the cyanoacrylate to cure when exposed to UV light, whereas when only one or the other of the radical initiator component or photoinitiator component are present (see Sample Nos. 1-5), no curing of the cyanoacrylate occurs. It may also be seen that vast amounts of the photoinitiated radical generating component is not necessary to observe the behavior of the inventive compositions (see Sample Nos. 6-9).

Example 2

In this example, a variety of radical initiator components were used in the formulation.

Again starting with ethyl-2-cyanoacrylate, and keeping the photoinitiator component constant as "TPT", a variety of different radical initiator components were used in the amounts noted to determine whether and to what extent the so-prepared formulations would cure when exposed to UV light. Table 2 below sets forth the specific identity and amounts of the radical initiator components used in the samples. Table 2 also sets forth the curing speed attained

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in seconds when one drop of the sample was placed on a glass slide (from Smiths Glassware) and exposed to 1000 W of mercury arc light and/or when one drop of the sample was placed on a glass slide and a second glass slide was positioned thereover, and thereafter exposing the single or double glass slide assembly to a Philips lamp HPR125 at 6 mW/cm² intensity or to no extra light at all.

Table 2

Sample No.	TPT [ppm]	Radical Initiator		Curing Speed [secs]		
		Type	[ppm]	1000 W 1 glass slide	2 glass slides 6 mW/cm ²	No light
14	2000	DBPO	100	29	2	>50
15	2000	DBPO	150	28	2	>50
16	2000	DBPO	250	29	2	>50
17	2000	DBPO	350	31	1-2	>50
18	2000	DBPO	450	31	1-2	>50
19	2000	DBPO	600	34	1-2	>50
20	2000	DBPO	1000	35		
21	2000	DBPO	5000	35		
22	2000	TBPB	600	35		
23	2000	TBPB	1000	35		
24	2000	TBPB	5000	35		
25	2000	DTBPO	400	35		
26	2000	DTBPO	1000	35		
27	2000	DTBPO	5000	35		
28	2000	DEAP	200	40		
29	2000	DEAP	1000	30		
30	2000	DEAP	5000	35		
31	2000	DMPAP	200	35		
32	2000	DMPAP	1000	30		
33	2000	DMPAP	5000	30		
34	2000	ABCH	400	33		
35	2000	ABCH	2000	40		
36	2000	BBPH	400	40		
37	2000	AIBN	100	31	1	>50
38	2000	AIBN	200	31	1-2	>50
39	2000	AIBN	400	29	1-2	>50
40	2000	AIBN	800	30	1-2	>50
41	2000	AIBN	2000	30	2	>50
42	2000	AIBN	4000	31	2-3	>50
43	4000	AIBN	2000	33		
44	4000	AIBN	4000	35		
45	2000	DCPO	200	40		
46	2000	DCPO	1000	35		
47	2000	DCPO	5000	40		
48	2000	ABCV	400	55		
49	2000	ABCV	2000	55		

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50	2000	TBHPO	400	50		
51	2000	TBHPO	2000	45		
52	2000	BBPDMH	400	38		
53	2000	BBPDMH	2000	38		
54	2000	BBPDMH	400	35		
55	2000	BBPDMH	2000	40		
56	2000	ADCDP	400	22		
57	2000	ADCDP	2000	15		
58	2000	LPO	400	30		
59	2000	LPO	2000	32		
60	2000	DPTPO	400	35		
61	2000	DPTPO	2000	22		
62	2000	DC	400	45		
63	2000	DC	2000	55		
64	2000	CHPO	400	50		

Table 2 shows that a variety of photoinitiated radical generating components may be used to render a cyanoacrylate-containing formulation photocurable in the presence of a photoinitiator -- in this case TPT. Such photoinitiated radical generating components include, in addition to DBPO, other peroxides, peresters, azo-compounds, halo acetophenones (e.g., DC), aromatic carbonyl compounds (e.g., DMPAP and DEAP), acyl phosphine oxides (e.g., DPTPO) and related compounds.

Example 3

In this example, a variety of different photoinitiator components were chosen for evaluation in an ethyl-2-cyanoacrylate formulation with DBPO as a photoinitiated radical generating component, with one exception being AIBN in Sample No. 74. The specific photoinitiator components chosen and the amounts used are set forth below in Table 3, as well are the curing speeds of the formulations.

Table 3

Sample No.	Radical Initiator		Photoinitiator		Curing Speed [secs]		
					1000 W 1 slide glass	2 glass slides	
	Type	[ppm]	Type	[ppm]		6 mW/cm ²	No light
65	DBPO	100	TPT	2000	29	2	>50
66	DBPO	150	TPT	2000	28	2	>50
67	DBPO	250	TPT	2000	29	2	>50
68	DBPO	350	TPT	2000	31	1-2	>50
69	DBPO	200	PBT	2000		25	>50
70	DBPO	200	DNT	2000		1	10
71	DBPO	200	DNT	5000	45	1-2	40
72	DBPO	200	TPTS	2000		4	45
73	DBPO	200	TPTS	5000	40	2	>50
74	AIBN	500	DTPT	2000	30	2	>50
75	DBPO	250	DTPT	2000	35	2	>50
76	DBPO	250			>60	>30	>30
77	DBPO	200	CPPP	2000	>50		
78	DBPO	200	CPPP	3000	>50	>50	>50
79	DBPO	200	DMPT	2000	>50	>50	
80	DBPO	200	DMPPC	2000		35	
81	DBPO	200	DMPPC	5000	40	20	20
82	DBPO	200	BHNT	2000		>50	
83	DBPO	200	BHNT	3000	40	45	
84	DBPO	200	DFPT	2000		>50	
85	DBPO	200	DFPT	5000	40	>50	
86	DBPO	250	CBMOC	2000	>60	>50	>50
87	DBPO	250	ADCN	2000	>60	>50	>50

Example 4

5 In this example, a side-by-side evaluation of a cyanoacrylate composition (one with TPT at a 2000 ppm level and DBPO at a 200 ppm level) curing in the presence of air and in the presence of a nitrogen atmosphere demonstrated that the presence of oxygen inhibits the ability of the composition to cure.

10 In addition, cyanoacrylate compositions with TPT as a photoinitiator component at 1500 ppm and varied levels of DBPO as a radical initiator component, where the amount was varied, were cured to illustrate that these compositions cure through a free radical initiated mechanism.

15 In Table 4a below, the effect is shown of increasing the level of DBPO on the cure speed of a composition (Sample No. 65) on a polycarbonate slide when exposed to mercury arc light at an intensity of 1000 W.

Sample No.	DBPO [ppm]	TPT [ppm]	Tack-free time @ 1000 W [secs]
88	200	2000	26
89	200	2000	>60

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Example 5

In this example, a non-cyanoacrylate radical curable component was included with the cyanoacrylate to illustrate the ability of the so-formed formulations to cure when exposed to appropriate radiation in the electromagnetic spectrum. The identity of such components, the percent by weight added and the speed of cure are set forth below in Table 5.

Table 5

Sample No.	Non-Cyanoacrylate Radical Curable Component		Curing speed [secs]
	Type	Amt[%]	
90	--	--	6
91	Styrene	25	6
92	Styrene	50	8
93	Styrene	75	16
94	Tetrahydrofurfuryl methacrylate	25	40
95	Tetrahydrofurfuryl methacrylate	50	32
96	Isodecyl methacrylate	25	15
97	Phenylacetylene	50	18
98	2-Phenylethyl methacrylate	50	34
99	Trimethylphenyl acrylate	50	36
100	Pentaerythritol tetraacrylate	50	12

Example 6

In this example, a variety of photoinitiated radical generating components were added to ethyl-2-cyanoacrylate in various amounts, with and without 2500 ppm of TPT as a photoinitiator, to determine the cure speed after exposure to UV light at an intensity of 1000 W in an irradiation chamber ("UVALOC 1000", Loctite Deutschland GmbH).

More specifically, Sample Nos. 102, 104, 106, 108, 110, 112, 114, 116, 118, and 120 contained TPT.

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Table 6

Sample No.	Photoinitiated Radical Generating Component					Curing Time [secs]
	AIBN	LUCIRIN TPO	IRGACURE 184	IRGACURE 651	IRGACURE 907	
101	500					>60
102	500					34
103	20000					*
104	20000					42
105		1500				*
106		1500				26
107		20000				*
108		20000				13
109			1500			*
110			1500			45
111			20000			*
112			20000			>60
113				1500		*
114				1500		50
115				20000		*
116				20000		>60
117					1500	>60
118					1500	4
119					20000	15
120					20000	3

* No curing observed; sample evaporates before curing.

While the present invention has been exemplified as shown above, it is clear that variations are also intended to be within the spirit and scope of the present invention and may be practiced in accordance herewith, with only routine, rather than undue, experimentation. Any variations and equivalents should provide suitable, if not comparable results, when viewed in connection with the results obtained from the above examples. Accordingly, such variations and equivalents are also intended to be encompassed by the claims.

What Is Claimed Is:

1. A photocurable composition comprising:
 - (a) a 2-cyanoacrylate component,
 - (b) a photoinitiated radical generating component, and
 - (c) a photoinitiator component.

2. The composition according to Claim 1, wherein the cyanoacrylate component includes a cyanoacrylate monomer represented by $H_2C=C(CN)-COOR$, wherein R is selected from the group consisting of C_{1-15} alkyl, alkoxyalkyl, cycloalkyl, alkenyl, aralkyl, aryl, allyl and haloalkyl groups.

3. The composition according to Claim 2, wherein the cyanoacrylate monomer is selected from the group consisting of methyl cyanoacrylates, ethyl-2-cyanoacrylate, propyl-2-cyanoacrylates, butyl-2-cyanoacrylates, octyl-2-cyanoacrylates, allyl cyanoacrylate, β -methoxyethyl cyanoacrylates, and combinations thereof.

4. The composition according to Claim 2, wherein the cyanoacrylate monomer is ethyl-2-cyanoacrylate.

5. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of α -haloacetophenones, azo compounds, aromatic carbonyl compounds, peroxides, hydroperoxides, peresters, azoisobutyronitrile, and combinations thereof.

6. The composition according to Claim 1, wherein the photoinitiated radical generating component includes materials selected from the group consisting of 1,1'-azo-bis(cyclohexanecarbonitrile), 4,4'-azo-bis(4-cyanovaleric acid), 1,1'-(azodicarbonyl)-dipiperidine, 1,1-bis(t-butylperoxy)cyclohexane, 2,5-bis(t-butylperoxy)-2,4-

9. The composition according to Claim 1, wherein the photoinitiator component is selected from the group consisting of 2,4,6-triphenylpyrylium tetrafluoroborate, 1,4-phenylene-4,4'-bis-(2,6-diphenyl-4-pyrylium

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tetrafluoroborate), 2,4-diphenylnaphto-(1,2-B) pyrylium tetrafluoroborate, 2,4,6-triphenyl-pyrylium trifluoromethane sulfonate, 2,6-diphenyl-4(p-tolyl)-pyrylium tetrafluoroborate and combinations thereof.

10. The composition according to Claim 1, further comprising (d) a non-cyanoacrylate radical curable component.

11. The composition according to Claim 8, wherein the non-cyanoacrylate radical curable component is a member selected from the group consisting of styrene and derivatives thereof, (meth)acrylates, and combinations thereof.

12. The composition according to any one of Claims 1-11, wherein radiation in the electromagnetic spectrums appropriate for photocuring the composition is selected from the group consisting of ultraviolet light, visible light, electron beam, x-rays, infrared radiation and combinations thereof.

13. The composition according to any one of Claims 1-11, further comprising a member selected from the group consisting of viscosity-modifying agents, rubber toughening agents, thixotropy conferring agents, thermal-stabilizing agents, and combinations thereof.

14. The composition according to any one of Claims 1-11, wherein the composition is useful as an adhesive, a sealant or a coating.

15. A method of polymerizing a photocurable composition, said method comprising the steps of:

(a) providing an amount of the photocurable composition according to any one of Claims 1-14; and

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(b) subjecting the composition to radiation in the electromagnetic spectrum effective to cure the composition.

16. The composition according to any one of Claims 1-14 in a two-part formulation.

17. The composition according to any one of Claims 1-14 in a one-part formulation.

18. A composition comprising a reaction product formed from the composition according to any one of Claims 1-14 after exposure to radiation in the electromagnetic spectrum.

19. The composition according to any one of Claims 1-14, for use in the manufacture of articles having porous substrates and/or substrates with gaps greater than about 0.5 mils therebetween.

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ABSTRACT OF THE DISCLOSURE

5 A radiation-curable composition that includes a cyanoacrylate component or a cyanoacrylate-containing formulation, a photoinitiated radical generating component, and a photoinitiator component is provided.

PATENT

Attorney Docket No.: ICC-211/PCT/US

COMBINED DECLARATION AND POWER OF ATTORNEY*(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP)*

As a below named inventor, I hereby declare that

TYPE OF DECLARATION

This declaration is of the following type:

- ☐ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☒ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

TITLE OF INVENTIONRADIATION-CURABLE, CYANOACRYLATE-CONTAINING COMPOSITIONS**SPECIFICATION IDENTIFICATION**

the specification of which

- (a) ☐ is filed concurrently herewith
- (b) ☐ was filed on _____ as ☐ Application No. _____ or ☐ Express Mail Mailing Label No. _____, as Application No. not yet known, and was amended on _____

(if applicable)

- (c) ☒ was described and claimed in PCT International Application No. PCT/US00/24620 filed on 08 SEPTEMBER 2000 and as amended under PCT Article 19 on _____ *(if any)*

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

AX 23

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√ In compliance with this duty, there is attached an information disclosure statement (37 CFR 1.97).

**COMBINED DECLARATION
AND POWER OF ATTORNEY**

Attorney Docket No.: **ICC-211/PCT/US**

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate, § 119(e) of any United States provisional application, or § 365(b) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(d) ☐ no such applications have been filed.

(c) ☒ such applications have been filed as follows

**EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119	
US	60/152,945	09 SEPTEMBER 1999	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365 (c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112.

(f) ☐ no such applications have been filed

(g) ☒ such applications have been filed as follows:

APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 120
PCT/US01/246,20	08 SEPTEMBER 2000	<input checked="" type="checkbox"/> Yes

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Steven C. Bauman

Reg. No. 33,832

LOCTITE CORPORATION

1001 Trout Brook Crossing

Rocky Hill, Connecticut 06067 USA

SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO: (name and telephone number)
LOCTITE CORPORATION Legal Department 1001 Trout Brook Crossing Rocky Hill, Connecticut 06067	Steven C. Bauman (860) 571-5001

COMBINED DECLARATION
AND POWER OF ATTORNEY

Attorney Docket No.: ICC-211/PCT/US

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statement; and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURES

1-0 Full name of sole or first inventor: Hanns R. Misiak
Inventor's signature: *Hanns R. Misiak*
Date: 11. 03. 2002 Country of Citizenship: Germany
Residence: 22 Cypress Avenue, Scholarstown Road, 24 Dublin, Ireland DEX
Post Office Address: _____

Full name of second inventor: _____
Inventor's signature: _____
Date: _____ Country of Citizenship: _____
Residence: _____
Post Office Address: _____